

Applicants: Ma Franz, et al.

Examiner: Ali Mohammad

Serial No: 09/862,524

Group Art Unit: 2177

Filed: May 22, 2001

Docket: YOR920010230US1(8728-504)

For: INFORMATION RETRIEVAL WITH NON-NEGATIVE MATRIX FACTORIZATION

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Technology Center 2100

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- [ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- [ ] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	22 MINUS 22	= 0	X 9	\$	X 18 \$ 0
INDEP.	6 MINUS 5	=	X 43	\$	X 86 \$ 86.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X 145	\$	X 290 \$
TOTAL				ADDIT. FEE	OR TOTAL \$ 0
				\$86.00	

- \* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

[X] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$86.00. Two (2) copies of this sheet are enclosed.

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

F. CHAU & ASSOCIATES, LLC  
1900 Hempstead Turnpike  
Suite 501  
East Meadow, NY 11554  
(516) 357-0091

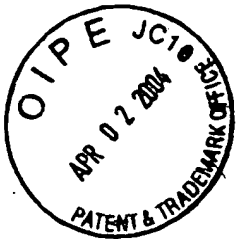
Frank Chau  
Reg. No. 34,136  
Attorney for Applicant

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service and any document referred to as being attached or enclosed) as first class mail, postpaid in an envelope, addressed to the: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 30, 2004.

Dated: 3/30/04

Frank Chau



PATENT APPLICATION

#3A  
4/9/04  
A.W.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** Martin Franz, et al.

**EXAMINER:** Ali, Mohammad

**SERIAL NO:** 09/862,524

**GROUP ART UNIT:** 2177

**FILED:** May 22, 2001

**DOCKET:** YOR9-2001-0230  
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Alexandria, VA 22313-1450

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**AMENDMENT**

The following amendment is made in response to the Office Action of  
December 30, 2003.

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**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Mail Stop Non-Fee Amendment, Commissioner of Patents, Alexandria, VA 22313-1450 on March 30, 2004.

Date: March 30, 2004

*Aniket Patel*

55,525  
Aniket Patel

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